

SageVest Wealth Management
NOTIFICATION OF PRIVACY POLICIES AND PRACTICES
(“Privacy Notice”)

Maintaining the confidentiality and security of the personal information of our current and prospective investors is one of our highest priorities. This notice sets forth the type of personal information we collect, how that information is used by us, and how we protect your personal information.

Information We Collect

We must collect certain personally identifiable financial information about our clients to ensure that we offer the highest quality financial services and products, non-public personal information is collected from the following sources:

From You: We collect information from you in conversations over the telephone, in voice mails, through written correspondence, via e-mail and other electronic communications or in subscription agreement, investor agreements, investor questionnaires, applications or other forms (including, without limitation, any anti-money laundering, identification, and verification documentation) that you complete when requesting information or services from us. This information may include, among other things, items such as your name, address, email address, social security number, birth date, annual income, net worth, and marital status.

From Transactions: We collect information about your transaction with us or others and keep records of advice or services we provide. We keep records relating to items such as your account balance and payment history, which enables us to resourcefully service your account.

From our Web Site, Fund Data and/or Investor Reporting Portal: If you visit our website, we may use a so-called cookie to track the amount of time you spend on our site, the parts of our site you visited, and other technical information. We use this information to improve the functionality of our web site. Additionally, we collect registration information and information provided through online forms. Your personal information is collected and maintained by us so we may develop, offer, and deliver products and services to you, process transactions in your account, and fulfill our legal and regulatory requirements.

Information We Disclose

We do not disclose any non-public personal information about our investors or former investors to anyone, except as permitted or required by law, or as necessary to affiliates and service providers. We may disclose all of the information we collect, as described above, to certain non-affiliated third parties such as, but not limited to, fund administrators, attorneys, accountants, auditors, compliance and other consultants, banks, lenders, governmental agencies and other persons or entities to enable us to provide wealth management services to you and to comply with legal and regulatory requirements, as well as pursuant to legal process and to self-regulatory organizations.

Protection of Your Personal Information

Our employees may have access to your personal information in order to provide services to the funds and to you. All employees are subject to the terms of our company’s compliance manual, which requires employees to treat confidentially all information obtained from or about you or your account. We also maintain physical, electronic, and procedural safeguards designed to protect nonpublic personal financial information.

Further Information

We reserve the right to change our privacy policies and this Privacy Notice at any time. Any examples contained within this notice are illustrations only and are not intended to be exclusive. This notice complies with the privacy provisions of Regulation S-P under the Gramm-Leach-Bliley Act and certain privacy provisions of other laws. You may have additional rights under other foreign or domestic laws that apply to you, including as set forth in our additional and/or supplemental privacy notices.

PRIVACY NOTICE SUPPLEMENT FOR MASSACHUSETTS & VERMONT RESIDENTS

Investment Advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives customers the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

TYPES OF NONPUBLIC PERSONAL INFORMATION (“NPI”) WE COLLECT

We collect NPI about you that is either provided to us by you or obtained by us with your authorization. This can include, but is not limited to, your Social Security number, date of birth, banking information and financial account numbers and/or balances, sources of income, or other Information. When you are no longer our customer, we may continue to share your information only as described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share NPI to run their everyday business. In the section below, we list the typical reasons that we may share your personal information:

- For everyday business purposes – such as to process your transactions, maintain your account(s), or respond to court orders and legal investigations, work with technology providers, compliance consultants, and counsel, or report to credit bureaus;
- For coordination with allied professionals – to coordinate wealth management services by sharing information with your accountant, attorney, insurance agent or other allied professional.

If you are a new customer, we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. However, please note we do not use NPI to give to third parties so they may market to you.

PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENT’S INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

THE RIGHT TO LIMIT SHARING – OPTING OUT AND OPTING IN

Federal law allows you the right to limit the sharing of your NPI by “opting-out” of the following: (i) sharing for affiliates’ everyday business purposes -- information about your creditworthiness; and (ii) sharing with affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately at our address or telephone number if you choose to opt out of these types of sharing.

Certain states (including Massachusetts and Vermont) give their residents additional rights to limit sharing. Under each state’s applicable law, we may not share NPI with non-affiliates unless we first receive written permission (“opting-in”). If we do not receive permission to share this NPI, then we are prohibited from sharing this NPI with “non-affiliates” as defined below.

I give you permission to share my NPI with non-affiliates as described herein.

Signature of Client(s): _____

DEFINITIONS: Affiliates – companies related by common ownership or control. They can be financial and nonfinancial companies; Non-affiliates – companies not related by common ownership or control. They can be financial and nonfinancial companies.