SAGEVEST Wealth Management

An independent, fee-only financial advisory and wealth management firm, dedicated to

CONNECTING YOU WITH YOUR WEALTH

20 IMPORTANT QUESTIONS TO ASK A FINANCIAL ADVISOR

1) **Are you a fiduciary?** Y ☐ N ☐
2) **What are your credentials?** CFP ☐ CFA ☐ CPA ☐ ChFC ☐ Other: ______________
3) **Experience** ____
4) **Will I be working with you long-term?** Y ☐ N ☐
5) **How often will we communicate?** ____________________________________
6) **How many clients do you have?** Firm: __________________ Advisor: ___________________
7) **Have you or your firm been subject to disciplinary or legal actions?** Y ☐ N ☐
8) **What are the fees? (Include how fees are calculated, fee cycle, and advisor's compensation)**

   ____
9) **Do you receive any commissions or incentives?** ________________________________
10) **What services are included in the fee?** ____________________________________

    ____
11) **Do you report investment performance net of fees?** Y ☐ N ☐
12) **What is your investment philosophy?** ____________________________________

Diversification: Y ☐ N ☐ **Growth vs. Value:** Y ☐ N ☐ **Market Timing:** Y ☐ N ☐

Types of Investments: ____

13) **How will you determine my asset allocation?** ________________________________
14) **Will I have to sell everything I own, even if there's a tax impact?** Y ☐ N ☐
15) **How often will my investment accounts be reviewed and repositioned?**

Monthly ☐ Quarterly ☐ Annually ☐ Other ________________________________

16) **Where will my assets be held?** ____
17) **What is included in your financial planning services?** ____________________________
18) **Are there additional fees for financial planning?** Y ☐ N ☐ $ ____________________
19) **How often will my financial plan be updated?** ________________________________
20) **Does planning software use an effective tax rate?** Y ☐ N ☐

SageVest Wealth Management proudly serves as an independent, fee-only, fiduciary advisor to individuals, families and business owners who care about making smart wealth decisions with counsel they can trust. Please contact us to learn more about how we can help you secure your financial future.